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Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

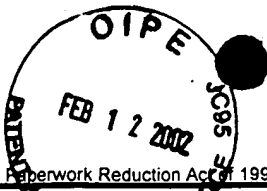
TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/395,106	
	Filing Date	September 14, 1999	
	First Named Inventor	Westhoff et al.	
	Group Art Unit	3635	
	Examiner Name	Phi Dieu Tran A	
Total Number of Pages in This Submission	21	Attorney Docket Number	POL-PT010.1

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): RECEIVED FEB 19 2002 GROUP 3600
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	RUY M. GARCIA-ZAMOR	Reg. No. 44,117
	Volpe and Koenig, P.C.	
Signature		
Date	January 14, 2002	

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: January 14, 2002			
Typed or printed name	RUY M. GARCIA-ZAMOR		
Signature		Date	January 14, 2002

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Volpe and Koenig Revision of PTO/SB/17 (10-01)
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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)

0

Complete if Known

Application Number	09/395,106
Filing Date	September 14, 1999
First Named Inventor	Westhoff et al.
Examiner Name	Phi Dieu Tran A
Group Art Unit	3635
Attorney Docket No.	POL-PT010.1

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 22-0493

Deposit Account Name VOLPE AND KOENIG, P.C.

- ☒ Charge any Deficiencies or Credit any Overpayment in the Total Fees Associated With This Communication

- ☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
101	740	201	370	Utility filing fee
106	330	206	165	Design filing fee
107	510	207	255	Plant filing fee
108	740	208	370	Reissue filing fee
114	160	214	80	Provisional filing fee

Fee Paid

SUBTOTAL (1) (\$)

0

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20	44** = 0	9	0
4	11** = 0	42	0
Multiple Dependent		0	0

Large Entity Small Entity

Fee Code Fee (\$)

Fee Code Fee (\$)

Fee Description

103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	
105	130	205	65	Surcharge - late filing fee or path
127	50	227	25	Surcharge - late provisional filing fee or cover sheet
139	130	139	130	Non-English specification
147	2,520	147	2,520	For filing a request for ex parte reexamination
112	920*	112	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for reply within first month
116	400	216	200	Extension for reply within second month
117	920	217	460	Extension for reply within third month
118	1,440	218	720	Extension for reply within fourth month
128	1,960	228	980	Extension for reply within fifth month
119	320	219	160	Notice of Appeal
120	320	220	160	Filing a brief in support of an appeal
121	280	221	140	Request for oral hearing
138	1,510	138	1,510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive - unavoidable
141	1,280	241	640	Petition to revive - unintentional
142	1,280	242	640	Utility issue fee (or reissue)
143	460	243	230	Design issue fee
144	620	244	310	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Processing fee under 37 CFR 1.17(q)
126	180	126	180	Submission of Information Disclosure Stmt
581	40	581	40	Recording each patent assignment per property (times number of properties)
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))
179	740	279	370	Request for Continued Examination (RCE)
169	900	169	900	Request for expedited examination of a design application

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

0

SUBMITTED BY

Name (Print/Type) Ruy M. Garcia-Zamor, Esquire

Registration No. (Attorney/Agent)

44,117

Complete (if applicable)

Telephone

215-568-6400

Signature

Date

January 14, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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10/C
YON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

2/25/02

COPY OF PAPER
ORIGINALLY FILED

In the **PATENT APPLICATION** of:

Westhoff et al.

Application No.: 09/395,106

Filed: September 14, 1999

For: MANHOLE INSERT FOR MANUFACTURE
OF A CAST MEMBER AND TO PROVIDE A
STEP INSERT HAVING INCREASED
STRUCTURAL AND HOLDING STRENGTH

Group: 3635

Examiner: Phi Dieu Tran A

Our File: POL-PT010.1

Date: January 14, 2002

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FEB 19 2002

GROUP 3600

AMENDMENT PURSUANT TO 37 C.F.R. §1.111

Commissioner for Patents
Washington, D.C. 20231

Sir:

This Amendment is respectfully submitted in response to the Office Action, dated October 12, 2001 (Paper No. 9), for the above-identified application in which the Examiner sets a three month shortened statutory period for reply which expires on January 14, 2002 (January 12, 2002 being a Saturday). Entry of the following Amendment and Remarks, without prejudice or disclaimer, is respectfully requested.

IN THE CLAIMS

Please amend claims 21, 23-25, 27, 43, and 44, without prejudice or disclaimer, as follows. A marked-up version of the claims is attached hereto.